

DECLARATION FOR PATENT APPLICATION

As the below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **NUCLEIC ACID ENCODING DS-CAM PROTEINS AND PRODUCTS RELATED THERETO**, the specification of which

_____ is attached hereto as Attorney Docket No. _____).
 X was filed on October 23, 1997 (Attorney Docket No. P-CE 2817)
as Application Serial No. 08/956,991
and was amended on (or amended through) _____.(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a).

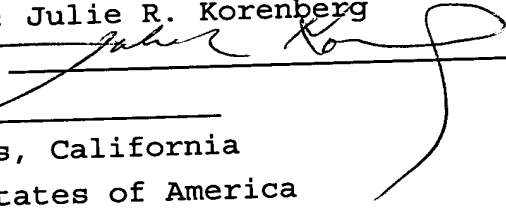
I hereby claim the benefit under Title 35, United States Code, §119(e) of the United States provisional application listed below.

Inventor: Julie R. Korenberg
Serial No.: 08/956,991
Filed: October 23, 1997
Page 2

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
60/029,322	October 25, 1996	Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: Julie R. Korenberg

Inventor's signature: 

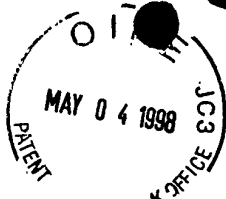
Date: 4/3/98

Residence: Los Angeles, California

Citizenship: United States of America

Post Office Address: 8125 Skyline Drive

Los Angeles, California 90046



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application) For: NUCLEIC ACID ENCODING DS-CAM
Julie R. Korenberg) PROTEINS AND PRODUCTS
Serial No.: 08/956,991) RELATED THERETO
Filed: October 23, 1997) Attorney Docket: P-CE 2817

POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. § 3.71

Asst. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

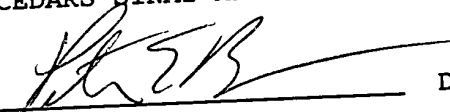
The undersigned assignee of the entire interest in the above-identified subject application hereby appoints CATHRYN CAMPBELL, Registration No. 31,815; ROBERT T. RAMOS, Registration No. 37,915; SUSAN M. PERKINS, Registration No. 36,405; RICHARD J. IMBRA, Registration No. 37,643; PAUL C. STEINHARDT, Registration No. 30,806; GREGORY R. HOOK, Registration No. 38,701; DAVID SPOLTER, Registration No. 36,933; DAVID A. GAY, Registration No. 39,200; CALVIN A. FAN, Registration No. 38,444; CHARLENE A. LAUNER, Registration No. 33,035; and ANDREA L. GASHLER, Registration No. 41,029, all of the firm of Campbell & Flores LLP, as its attorneys to prosecute this application and to transact all business in the Patent Office connected therewith, said appointment to be to the exclusion of the inventor and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Inventor: Julie R. Korenberg
Serial No.: 08/956,991
Filed: October 23, 1997
Page 2

Please direct all telephone calls to Robert T. Ramos at Campbell
& Flores LLP and all correspondence relative to said application to
the following address:

4370 La Jolla Village Drive
Suite 700
San Diego, CA 92122-1252

ASSIGNEE: CEDARS-SINAI MEDICAL CENTER

Signature:  Date: 4/6/98
Typed Name: Peter E. Braveman
Address: Cedars-Sinai Medical Center
8770 Beverly Boulevard
Los Angeles, California 90048

Attorney Docket No.: P07 37114

Applicant or Patentee: Julie R. Korenberg

Serial No. or Patent No.:

Filed or Issued: October 25, 1996

Title: NUCLEIC ACID ENCODING A NOVEL DS-CAM PROTEIN AND PRODUCTS RELATED THERETO

COPY

MAY 04 1998

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION
ADDRESS OF ORGANIZATION

CEDARS-SINAI MEDICAL CENTER
8700 BEVERLY BLVD.
LOS ANGELES, CALIFORNIA 90048-1865

TYPE OF ORGANIZATION

- ☐ University or other Institution of Higher Education
☒ Tax Exempt under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c) (3))
☐ Nonprofit Scientific or Educational under Statute of State of the United States of America (Name of State _____)
(Citation of Statute _____)
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c) (3)) if located in the United States of America
☐ Would qualify as nonprofit Scientific or Educational under Statute of State of the United States of America if located in the United States of America (Name of State _____) (Citation of Statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled NUCLEIC ACID ENCODING A NOVEL DS-CAM PROTEIN AND PRODUCTS RELATED THERETO by inventor(s) Julie R. Korenberg described in:

- ☐ the specification filed herewith
☒ application Serial No. 60/029,322, filed October 25, 1996
☐ Patent No. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. §1.27).

Full Name _____

Address _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name _____

Address _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name _____

Address _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

TITLE IN ORGANIZATION

ADDRESS OF PERSON SIGNING

SIGNATURE

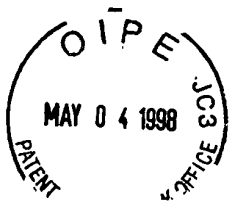
Peter E. Braveman, Esq.

Senior Vice President for Legal Affairs and General Counsel

8700 Beverly Boulevard, Los Angeles, California 90048

DATE

11/14/96



Assignment and Recordation Form Cover Sheet
P-CE 2817
Serial No.: 08/956,991

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 29, 1998.

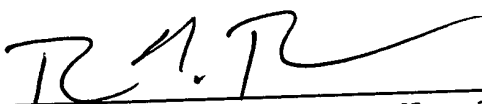
By RT
Robert T. Ramos, Reg. No. 37,915

April 29, 1998
Date of Signature



Power Of Attorney By Assignee
And Exclusion Of Inventor(s)
Under 37 C.F.R. § 3.71
P-CE 2817
Serial No.: 08/956,991

I hereby certify that this correspondence is being deposited with
the United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231, on April 29, 1998.

By 
Robert T. Ramos, Reg. No. 37,915

April 29, 1998
Date of Signature

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant: Julie R. Korenberg

Application No.: 08/956,991 **Filed:** October 23, 1997

For: Cedars-Sinai Medical Center
(Name of Assignee)

a Medical Center
(Type of Assignee, e.g. corp., university, etc.)

certifies that it is the assignee in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above of which a copy thereof is attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Assignee: Cedars-Sinai Medical Center

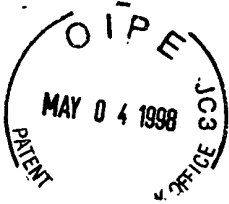
By:

Name: Peter E. Braveman

Title: Senior Vice President for
Legal Affairs and General
Counsel

Date:

4/6/98



Certificate Under 37 C.F.R. § 3.73 (b)
P-CE 2817
Serial No.: 08/956,991

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 29, 1998.

By

A handwritten signature in dark ink, appearing to read "R.T. Ramos", written over a horizontal line.

Robert T. Ramos, Reg. No. 37,915

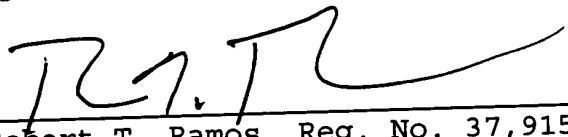
April 29, 1998
Date of Signature



Copy of Verified Statement (Declaration)
Claiming Small Entity Status
P-CE 2817
Serial No.: 08/956,991

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 29, 1998.

By


Robert T. Ramos, Reg. No. 37,915

April 29, 1998
Date of Signature